

### AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### **Listing of Claims:**

1. (Currently Amended) A security analysis tool for an automation system, comprising:
  - an interface component to generate a description of ~~factory assets~~ one or more industrial controllers, wherein the description includes at least one of shop floor access patterns, Intranet access patterns, Internet access patterns, and wireless access patterns; and
  - an analyzer component to generate one or more security outputs based on the description, ~~wherein the analyzer component provides for partitioned security specification entry and sign-off from various groups.~~
2. (Original) The tool of claim 1, at least one of the interface component and the analyzer component operate on a computer and receive one or more factory inputs that provide the description.
3. (Original) The tool of claim 2, the factory inputs include user input, model inputs, schemas, formulas, equations, files, maps, and codes.
4. (Original) The tool of claim 2, the factory inputs are processed by the analyzer component to generate the security outputs, the security outputs including at least one of manuals, documents, schemas, executables, codes, files, e-mails, recommendations, topologies, configurations, application procedures, parameters, policies, rules, user procedures, and user practices that are employed to facilitate security measures in an automation system.

5. (Original) The tool of claim 1, the interface component includes at least one of a display output having associated display objects and at least one input to facilitate operations with the analyzer component, the interface component is associated with at least one of an engine, an application, an editor tool, a web browser, and a web service.
6. (Original) The tool of claim 5, the display objects include at least one of configurable icons, buttons, sliders, input boxes, selection options, menus, and tabs, the display objects having multiple configurable dimensions, shapes, colors, text, data and sounds to facilitate operations with the analyzer component.
7. (Original) The tool of claim 5, the at least one inputs includes receiving user commands from a mouse, keyboard, speech input, web site, remote web service, camera, and video input to affect operations of the interface component and the analyzer component.
8. (Currently Amended) The tool of claim 1, the description includes a model of one or more industrial automation assets to be protected and associated network pathways to access the industrial automation assets.
9. (Original) The tool of claim 1, the description includes at least one of risk data and cost data that is employed by the analyzer component to determine suitable security measures.
10. (Cancelled)
11. (Cancelled)

12. (Currently Amended) A security analysis method, comprising:  
inputting at least one model related to one or more ~~factory assets~~ industrial  
controllers;  
monitoring access to the ~~factory assets~~ industrial controllers to learn at least one  
access pattern; and  
~~attempting to gain identity information about end devices that relates to hacker~~  
~~entry; and~~  
generating one or more security outputs based on the model.
13. (Original) The method of claim 12, the at least one model is related to at least one  
of a risk- based model and a cost-based model.
14. (Original) The method of claim 12, the security outputs include at least one of  
recommended security components, codes, parameters, settings, related interconnection  
topologies, connection configurations, application procedures, security policies, rules,  
user procedures, and user practices.
15. (Original) The method of claim 12, further comprising at least one of:  
automatically deploying the security outputs to one or more entities; and  
utilizing the security outputs to mitigate at least one of unwanted network access  
and network attack.

16. (Currently Amended) A security analysis system in an industrial automation environment, comprising:

means for receiving abstract descriptions of ~~at least one of factory assets and network devices~~ one or more industrial controllers;

means for learning at least one access pattern for accessing the industrial controllers;

means for generating one or more security outputs based on the abstract description; and

means for automatically distributing the security outputs to facilitate network security in the industrial automation environment; ~~and~~

~~means for partitioned security specification entry and sign-off from various groups.~~

17. (Currently Amended) A security validation system, comprising:

a scanner component to automatically interrogate an industrial automation device system at periodic intervals for security related data; and

a validation component to automatically assess security capabilities of the industrial automation device system based upon a comparison of the security related data and one or more predetermined security guidelines; ~~wherein the scanner component and the validation component are at least one of a host-based component and a network-based component, and wherein, the at least one of host-based component and the network-based component determines susceptibility to common network-based attacks, searches for open TCP/UDP ports, scans for vulnerable network services, attempts to gain identity information about end devices that relates to hacker entry, performs vulnerability scanning and auditing on firewalls, routers, security devices, and factory protocols.~~

18. (Cancelled)

19. (Original) The system of claim 17, the validation component performs at least one of a security audit, a vulnerability scan, a revision check, an improper configuration check, file system check, a registry check, a database permissions check, a user privileges check, a password check, and an account policy check.

20. (Original) The system of claim 17, the security guidelines are automatically determined.

21. (Currently Amended) The system of claim [[17]] 46, the host-based component performs vulnerability scanning and auditing on devices, the network-based component performs vulnerability scanning and auditing on networks.

22. (Cancelled)

23. (Currently Amended) The system of claim 21, at least one of host-based component and the network-based component at least one of includes non-destructively mapping a topology of IT and industrial automation devices, checking revisions and configurations, checking user attributes, and checking access control lists.

24. (Original) The system of claim 17, further comprising a component to automatically initiate a security action in response to detected security problems.

25. (Original) The system of claim 24, the security action includes at least one of automatically correcting security problems, automatically adjusting security parameters, altering network traffic patterns, add security components, removing security components, firing alarms, automatically notifying entities about detected problems and concerns, generating an error or log file, generating a schema, generating data to re-configure or re-route network connections, updating a database, and updating a remote site.

26. (Currently Amended) An automated security validation method, comprising:  
scanning one or more ~~networks or~~ industrial automation devices for potential security violations at periodic intervals, wherein identity information about end devices that relates to hacker entry is gained; and  
performing an automated security procedure if a security violation is detected.
27. (Original) The method of claim 26, further comprising at least one of:  
checking for susceptibility to network-based attacks;  
searching for open TCP/UDP ports; and  
scanning for vulnerable network services.
28. (Original) The method of claim 26, further comprising at least one of:  
automatically performing security assessments;  
automatically performing security compliance checks; and  
automatically performing security vulnerability scanning.
29. (Original) The method of claim 26, the automated security procedures include at least one of automatically performing corrective actions, altering network patterns, adding security components, removing security components, adjusting security parameters, and generating security data to mitigate network security problems.
30. (Currently Amended) An automated security validation system, comprising:  
means for scanning one or more ~~networks or~~ industrial automation devices for potential security violations;  
means for initiating a security procedure in response to the security violations;  
and  
means for performing at least one of security assessments, security compliance checks,[[;]] and security vulnerability scanning of the industrial automation devices to mitigate the security violations;~~and~~  
~~means for partitioned security specification entry and sign-off from various groups.~~

31. (Currently Amended) A security learning system for an industrial automation environment, comprising:

a learning component to monitor and learn industrial automation activities during a training period; and

a detection component to automatically trigger a security event based upon detected deviations of subsequent industrial automation activities after the training period, ~~wherein the security event includes an attempt to gain identity information about end devices that relates to hacker entry.~~

32. (Currently Amended) The system of claim 31, the industrial automation activities includes at least one of a network activity and a device activity.

33. (Original) The system of claim 31, the learning component including at least one of a learning model and a variable

34. (Currently Amended) The system of claim 31, the industrial automation activities include at least one of a number of network requests, a type of network requests, a time of requests, a location of requests, status information, and counter data.

35. (Original) The system of claim 31, the detection component employs at least one of a threshold and a range to determine the deviations.

36. (Original) The system of claim 35, the threshold and the range are dynamically adjustable.

37. (Original) The system of claim 33, the learning model includes at least one of mathematical models, statistical models, probabilistic models, functions, algorithms, and neural networks, classifiers, inference models, Hidden Markov Models (HMM), Bayesian models, Support Vector Machines (SVM), vector-based models, and decision trees.

38. (Original) The system of claim 31, the security event includes at least one of automatically performing corrective actions, altering network patterns, adding security components, removing security components, adjusting security parameters, firing an alarm, notifying an entity, generating an e-mail, interacting with a web site, and generating security data to mitigate network security problems.
39. (Currently Amended) A security learning method, comprising:  
monitoring a network of industrial controllers for a predetermined time, ~~wherein the monitoring includes gaining identity information about end devices that relates to hacker entry;~~  
automatically learning at least one data pattern of the network of industrial controllers during the predetermined time; and  
generating an alarm if a current data pattern is determined to be outside of a predetermined threshold associated with the at least one data pattern.
40. (Original) The method of claim 39, the at least one data pattern employed as input for a security analysis process.
41. (Currently Amended) A security learning system in an automation environment, comprising:  
means for scanning a network;  
means for learning access patterns to at least one industrial automation device from the network; and  
means for generating a security event if current access patterns are determined to be out of tolerance from stored access patterns; ~~and~~  
~~means for partitioned security specification entry and sign-off from various groups.~~
42. (Cancelled)
43. (Cancelled)



44. (Cancelled)

45. (New) The tool of claim 1, the analyzer component is adapted for partitioned security specification entry and sign-off from various groups.

46. (New) The system of claim 17, the scanner component and the validation component are at least one of a host-based component and a network-based component.

47. (New) The system of claim 21, at least one of host-based component and the network-based component at least one of determines susceptibility to common network-based attacks, searches for open TCP/UDP ports, scans for vulnerable network services, attempts to gain identity information about end devices that relates to hacker entry, performs vulnerability scanning and auditing on firewalls, routers, security devices, and factory protocols.